

## **Name of service**

IT Audit on accounting systems and infrastructure of the brokerage firms

## **Division**

Projects and Development, Programming, Infrastructure and communications

## **Recipients of Service**

ASE Members

## **Available Forms to Perform Service**

- Audit form on accounting systems
- Audit form on database
- Audit form on operating systems
- Audit form on infrastructure

## **Service Description**

IT auditing on accounting systems and infrastructure at the brokerage firms side to make sure of their compliance with the ASE and the JSC requirements.

## **Requirements and Conditions of Service**

ASE membership for brokerage firms.

## **Required Documents**

Official Documents showing how much the brokerage firms committed with ASE requirements

## **Steps and procedures for implementing the service**

The ICT staff checks the accounting systems and infrastructure of the brokerage firm to make sure of their compliance with the ASE and the JSC requirements. They also request official documents that clarify brokerage firm's commitment.

## **Service Fees**

None

## **Approximate Time for Completing the Service**

After completing all of the required Auditing procedures

## **Contact Details**

Information & Communications Technology Dept. / ASE

- Tel: 0096265664109
  - Ext 1601/1604

Source URI:

<http://194.165.154.78/en/print/pdf/node/18>