

## **Name of service**

Membership of the ASE

## **Division**

Membership of the ASE

## **Recipients of Service**

Brokerage firms

## **Available Forms to Perform Service**

Membership application form

## **Service Description**

The brokerage firm becomes a member of the ASE to be authorized to trade in securities through its systems

## **Requirements and Conditions of Service**

- Conditions mentioned in Articles (8), (9) and (10) of the ASE's Internal By-Law.
- The financial brokerage firm should get a financial broker or a dealer license from the JSC.

## **Required Documents**

An application submitted by the company, attached with required documents in Article (9) of the ASE Internal By-Law of the.

## **Steps and procedures for implementing the service**

1. Reviewing the membership application to ensure that it contains all required information.
2. Completing all procedures at the JSC and the SDC.
3. Get the approval on membership of the ASE Board of Directors and determine a day to start its work.

## **Service Fees**

- A membership fee of JD (200,000), once only, from the company that is licensed as a financial brokerage firm.
- Annual subscription fee of JD (500).

## Approximate Time for Completing the Service

The ASE Board of Directors determines the date for becoming a member after the company completes all procedures.

## Contact Details

Listing and Operations Dept.

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